# JC14 Rec'd PCT/PTO 12 DEC 2001

US

PCT Applicant's Guide - Volume II - National Chapter - US

Annex US.II, page 1

FORM FTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE						
	ATTORNEY'S DOCKET NUMBER					
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)	SENSOR-PCT-1					
CONCERNING A FILING UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5					
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 09 June 2000	PRIORITY DATE CLAIMED					
TITICE OF INVENTION	12 March 1999					
APPLICANT(S) FOR DO/EO/US  APPLICANT(S) FOR DO/EO/US	TEM AND METHOD					
Leggett, Nigel Derek & McInnes, James						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US)	he following items and other information					
1. It is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing un	nder 35 U.S.C. 371,					
3. This is an express request to begin national examination procedures (35 U.S.C. 37 items (5), (6), (9) and (21) indicated below.	1(f)). The submission must include					
4. Li The US has been elected by the expiration of 19 months from the priority data (A	ticle 21)					
The second distribution of the second						
a. is attached hereto (required only if not communicated by the International b. has been communicated by the International B.	al Bureau).					
bureau.						
c. is not required, as the application was filed in the United States Receivin  6. An English language translation of the International Application of the Internation of the Internation of the Inter	g Office (RO/US).					
6. An English language translation of the International Application as filed (35 U.S.C a. is attached hereto.	. 371(c)(2)).					
b. has been previously submitted under 35 U.S.C. 154(d)(4).						
Amendments to the claims of the International Aplication under PCT Article 19 (3)	5 U.S.C. 371(c)(3))					
are attached hereto (required only if not communicated by the Internation	al Bureau).					
have been communicated by the International Bureau.						
c. have not been made; however, the time limit for making such amendment	s has NOT expired.					
a. Inave not been made and will not be made.						
8. An English language translation of the amendments to the claims under PCT Article	e 19 (35 U.S.C. 371 (c)(3)).					
An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern document(s) or information included:						
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
A FIRST preliminary amendment.						
4. A SECOND or SUBSEQUENT preliminary amendment.						
15. A substitute specification.						
6. A change of power of attorney and/or address letter.						
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13	ter.2 and 35 U.S.C. 1 821 - 1 825					
A second copy of the published international application under 35 U.S.C. 154(d)(4).						
A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
Other items or information:						
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page Lof 2	ſ					

Annex US.II, page 2

PCT Applicant's Guide - Volume II - National Chapter - US

U.S. APPLICATION NO. GFEDOWN, 1808 37 CFR 1.5)  The following fees are submitted:  BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.				
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# TRANSMITTAL VIA EXPRESS MAIL No.:

EL930234715US

To The Honorable Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

LAW BUSINESS TECHNOLOGY

International PCT Patent Application PCT/GB00/02265 for "OPTO-ELECTRICAL ACTUATION SYSTEM AND METHOD"; Filed: 9 June 2000;

Inventors: Leggett, Nigel Derek & McInnes, James.

Dear Sir:

#### Enclosed please find the following:

- 1. Transmittal Letter to The United States Designated /Elected Office (DO/EO/US) concerning a filing under 35 U.S.C.371 (2 pp) (Form PTO-1390).
- 2. Preliminary Amendment.
- 3. Our check # 2905 in the amount of \$890.00 to cover national fee.
- 4. Our post card. Please date stamp and return.

NOTE: The Declarations/Power of Attorney are not included and will be provided at a later date pursuant to Section 1.495 (c)(2).

#### FEE REQUIREMENTS FOR CLAIMS AS AMENDED

Highest number remaining previously. after paid for Extra amendment 10 minus\*\* a. Total Effective Claims\*\_ 5 X \$39.00 =\_\_\_\_<u>1</u> minus\*\*\* <u>, 0</u> b. Independent Claims\* c. Amendment enters multiple dependent claim(s) in application, add \$130.00+ d. Original due date:  $[x] \dot{N}/\dot{A}$ 00.00 TOTAL FEE

<sup>\*</sup>If the entry in this space is less than entry in the next space, the "Present Extra" result is "O".

\*\*If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

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# JC13 Rec'd PCT/PTO 1 1 DEC 2001

SENSOR-PCT-1-PCT/GB00/02265 - page 2-

Please charge any unanticipated fees to our Deposit Account No. 03-3565 (a duplicate copy of this charge authorization is attached.)

Date

Respectfully submitted,

12/12/01

Royal W. Craig

Attorney for Applicant Reg. No. 34,145

I HEREBY CERTIFY that on December 12, 2001, one copy of the above-referenced documents were deposited with the United States Postal Service for delivery by Express Mail to the United States Patent and Trademark Office.

JC13 Rec'd PCT/PTO 12 DEC 2001

## IN THE UNITED STATES RECEIVING OFFICE (RO/US)

In re International Application of

LEGGETT et al.

Publication No. WO 00/77932 (13) A2

Appln. No. PCT/GB00/02265

US Officer: to be assigned

Filed: 09 June 2000

For:

OPTO-ELECTRICAL ACTUATION SYSTEM AND METHOD

## PRELIMINARY AMENDMENT

Honorable Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231

Sir:

Prior to examination on the merits, please amend the above-identified application as follows:

#### IN THE CLAIMS:

Please cancel claims 2, 4 and 6.

Please amend claims 1, 7, 8, 9 and 12 as follows, shown here in clean form. A redlined version is being submitted herewith on a separate sheet.

1. An actuation system for a plurality of electrically actuated devices, comprising:

a pulsed light source of variable pulse frequency directed to a plurality of actuation gateways; each gateway being adapted to supply an actuation voltage above a threshold value to an associated device when illuminated by light pulsed at a trigger frequency for that device; each said gateway comprising,

photovoltaic converter means for converting pulsed incident light to a pulsed

electric current of corresponding frequency, and

frequency-sensitive transformer means for transforming the voltage of the pulsed current to a higher voltage above the threshold value for the associated device when the current

frequency is at a trigger frequency.

- 7. A system according to claim 1 in which the trigger frequency is a band of not more than about 3kHz within the range 10kz-40kHz.
- 8. A system according to claim 1 in which the trigger frequencies of devices to be operated independently are separated by at least 3kHz.
- 9. A system according to claim 1 comprising optical pathway means for directing light from the light source to the plurality of actuation gateways.
- 12. A method of actuating a plurality of electrical devices, comprising providing an actuation system for the said devices according to claim 1, and selectively actuating a device by illuminating the actuation gateways with light pulsed at a frequency that corresponds to the trigger frequency of the selected device.

#### **REMARKS**

Consideration and allowance of this application are respectfully requested.

The limitations of claims 2, 4 and 6 have been incorporated into their parent claims

and claims 2, 4 and 6 are canceled to reduce the overall number of claims. In addition, claims 1, 7, 8, 9 and 12 are herein amended to eliminate multiple dependencies.

It is believed that this application is now in condition for allowance, and such a Notice is respectfully requested.

Respectfully submitted,

Royal W. Craig, Reg. No. 34,14:

Attorney for Applicant

Date: 17/12/61

Law Offices of Royal W. Craig, P.C. 210 North Charles Street, Suite 1319 Baltimore MD 21201

Phone: 410-528-8252 Facsimile: 410-528-1066

#### APPENDIX A: REDLINED CLAIMS

Claims 2, 4 and 6 are canceled.

Claims 1, 7, 8, 9 and 12 are amended as follows:

1.(Once amended) An actuation system for a plurality of electrically actuated devices, comprising:

a pulsed light source of variable pulse frequency directed to a plurality of actuation gateways[,]; each gateway being adapted to supply an actuation voltage above a threshold value to an associated device when illuminated by light pulsed at a trigger frequency for that device; each said gateway comprising,

photovoltaic converter means for converting pulsed incident light to a pulsed electric current of corresponding frequency, and

frequency-sensitive transformer means for transforming the voltage of the pulsed current to a higher voltage above the threshold value for the associated device when the current

frequency is at a trigger frequency.

7.(Once amended) A system according to [any one of the preceding claims] <u>claim 1</u> in which the trigger frequency is a band of not more than about 3kHz within the range 10kz-40kHz.

8.(Once amended) A system according to [any one of the preceding claims] <u>claim 1</u> in which the trigger frequencies of devices to be operated independently are separated by at least 3kHz.

9.(Once amended) A system according to [any one of the preceding claims] <u>claim 1</u> comprising optical pathway means for directing light from the light source to the plurality of actuation gateways.

12.(Once amended) A method of actuating a plurality of electrical devices, comprising providing an actuation system for the said devices according to [any one of the preceding claims] claim 1, and selectively actuating a device by illuminating the actuation gateways with light pulsed at a frequency that corresponds to the trigger frequency of the selected device.

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## OPTO-ELECTRICAL ACTUATION SYSTEM AND METHOD

This invention relates to an opto-electrical actuation system and method.

Specifically, it relates to a system and method in which light is used to selectively actuate and control a plurality of electrical devices. References to actuation herein are intended to include controlling and/or supplying operating power to the devices.

The system and method in accordance with the invention are intended to supply electrical power at an effective actuating voltage selectively to one or more of a plurality of devices. The power may be used to switch the devices, or to supply running power to them, according to the demands of the devices and the availability of other electrical power sources.

The invention is particularly suitable for downhole use at oil and gas exploration and production sites, in environments where temperatures can reach up to 300°C.

In accordance with one aspect of the invention, there is provided an actuation system for a plurality of electrically actuated devices, comprising a pulsed light source of variable pulse frequency directed to a plurality of actuation gateways each adapted to supply an electrical actuation voltage above a threshold value to an associated device when illuminated by light pulsed at a trigger frequency for that device.

Each said gateway is suitably provided with an optical sensor such as photovoltaic converter means for converting pulsed incident light to a low voltage pulsed electrical current in the order of 3 to 5 volts, of corresponding

frequency. Frequency sensitive ferroelectric DC-DC converter means may be provided for transforming the low voltage of the pulsed current to a higher voltage above the threshold value, typically 600-800 volts, for the associated device when the current frequency is at the trigger frequency. The DC-DC converter means may be a ferroelectric transformer and the trigger frequency is then suitably a resonant frequency of the transformer selectably adjusted by variation in the component geometry. In some embodiments of the invention output voltages for the converter as low as 100 volts may be adequate to activate the associated device.

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The trigger frequency may be in a band of not more than about 3kHz within the range 10kHz to 40kHz. The trigger frequencies of ferroelectric transformers to be operated independently are suitably separated to compensate for environmental effects, such as pressure and temperature, by a frequency difference of about 3kHz or more.

The system desirably includes optical pathway means for directing light from the light source to the plurality of actuation gateways. The optical pathway means may comprise a branched network of optical fibres connected by optical couplers. The coupler splitting ratios may be selected to provide optimum power to the devices to be actuated. Such ratios will depend upon the number of devices on the network and the available light source power. Typical optical coupling splitting ratios are in the order of 5:1 and are so selected as to provide optical power in the order of 40 to 50mW to the photovoltaic converter. The optical couplers should also be selected from materials suitable for the elevated thermal conditions of the surrounding environment. A sufficient optical power budget should be provided to accommodate changes in splitting ratio and photovoltaic conversion efficiency under the changing environmental conditions.

The invention further provides a method of actuating a plurality of electrical devices which comprises providing an actuating system for the said devices as

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set out above, and selectively actuating a device by illuminating the actuation gateways with light pulsed at a frequency that corresponds to the trigger frequency of the selected device.

One embodiment of the invention is illustrated by way of example in the accompanying drawing, which illustrates diagrammatically an actuation and control system in accordance with the invention.

As shown in the drawing, a single light source 10 is connected to an optical fibre backbone 12, along which a series of optical couplers 13 make optical connection between the backbone fibre and branch fibres 14. Light pulsed from the light source is conducted by the optical network (12, 13, 14) throughout the system.

- Branch fibres 14 deliver light to actuation gateways each associated with an electrical device 20. Each gateway comprises a photovoltaic converter 16 and a ferroelectric transformer 18. The devices 20 could be, for example, pilot valves, solenoid valves, motors and electrically powered instrumentation.
- Each photovoltaic transformer 18 has a natural resonant frequency range of, typically, 3kHz or less. When provided with pulsed electrical current at a resonant frequency, the transformer increases the voltage to a value that is above a threshold value required to actuate the electrical device 20. If pulsed current is supplied to the transformer 18 at a frequency outside its resonant trigger frequency range, the voltage increase is low, and does not reach the threshold value.

The photovoltaic converter 16 at each gateway responds to incident light transmitted over the optical network from light source10 and converts it into electrical current of a corresponding pulse frequency. Accordingly, the pulse frequency of the light emitted by the light source determines the frequency of

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the electrical current applied to all the transformers 18 in the system at the same time.

In accordance with the invention, electrical devices 20 that are intended to 5 operate simultaneously are associated with actuation gateways in which the transformers 18 have similar resonant trigger frequencies, and electrical devices that are intended to operate independently are provided with actuation gateways in which the transformers have distinctly different resonant frequencies. In this way, the devices to be actuated can be selected by appropriate selection of the pulse frequency at the light source.

Typical operating frequencies of a series of devices in accordance with the invention are 13-16kHz for the first device, 19-22kHz for the second device, and so on, with each device having a 3kHz trigger frequency band with a 3kHz separation between bands.

As an example, the output voltage of the photovoltaic converters 16 may be from 3 to 5 volts. If, and only if, the light pulse frequency is such as to produce an electrical frequency in the resonant trigger band of the transformer 18, the transformer output may be 600-800V, sufficient to actuate the associated electrical device.

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#### CLAIMS

- 1 An actuation system for a plurality of electrically actuated devices. comprising a pulsed light-source of variable pulse frequency directed to a plurality of actuation gateways; each gateway being adapted to supply an actuation voltage above a threshold-value to an associated device when illuminated by light pulsed at a trigger frequency for that device; each said gateway comprising photovoltaic converter means for converting pulsed incident light to a pulsed electric current of corresponding frequency; and each said gateway comprising frequency-sensitive transformer means for transforming the voltage of the pulsed current to a higher voltage above the threshold value for the associated device when the current frequency is at a trigger frequency.
- 2. A system according to claim 1 wherein the transformer means comprises a ferroelectric transformer and the trigger frequency is a resonant frequency of that 15 transformer.
  - A system according to claim 1 or claim 2 wherein the output voltage of the 3. photovoltaic converter means is 3 to 5 volts.
  - A system according to claim 1, claim 2 or claim 3 wherein the higher 4. voltage is 600 to 800 volts.
- 5. . A system according to any one of the preceding claims in which the trigger frequency is a band of not more than about 3kHz within the range 10kHz-40kHz. 25
  - A system according to any one of the preceding claims in which the trigger 6 frequencies of devices to be operated independently are separated by at least 3kHz.

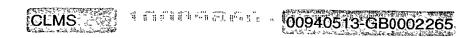
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AMENDED SHEET



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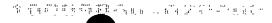
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- 7. A system according to any one of the preceding claims comprising optical pathway means for directing light from the light source to the plurality of actuation gateways.
- 5 8. A system according to claim 7 wherein the optical pathway means comprises a branched network of optical fibres connected by optical couplers.
  - 9. A system according to claim 8 wherein the optical couplers provide optical power of 40 to 50mW to each actuation gateway.
  - 10. A method of actuating a plurality of electrical devices, comprising providing an actuation system for the said devices according to any one of the preceding claims, and selectively actuating a device by illuminating the actuation gateways with light pulsed at a frequency that corresponds to the trigger frequency of the selected device.





## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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12 June 1999 (12.06.1999) G

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(81) Designated States (national): AU, BR, CA, GB, NO, RU, US.

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#### Published:

 Without international search report and to be republished upon receipt of that report.

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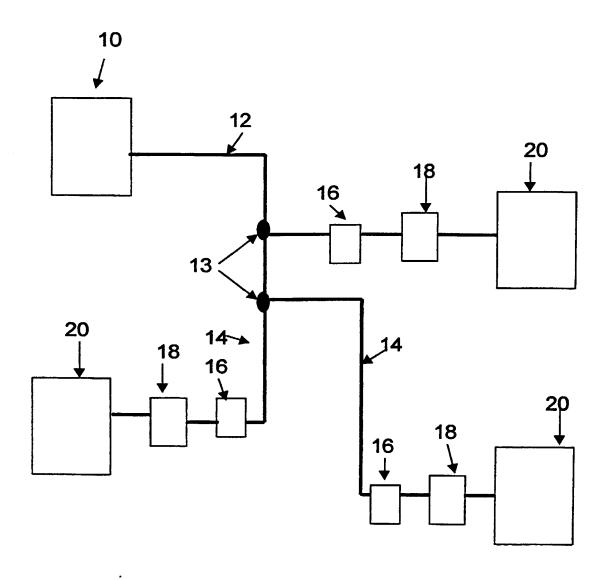


(54) Title: OPTO-ELECTRICAL ACTUATION SYSTEM AND METHOD

(57) Abstract: A selective optical actuation system for a plurality of electrical devices (20) comprises a variable pulse frequency pulsed light source (10) and an optical fibre network (12, 13, 14) distributing the light pulses to an actuation gateway for each device, comprising a photovoltaic converter (16) whose correspondingly pulsed electrical output is applied to a ferroelectric transformer (18). Only if the pulse frequency is within the resonant band for a given transformer will the voltage be raised above a threshold value rquired to actuate that device. Choice of light pulse frequency thereby determines the device(s) to be actuated.

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## **DECLARATION FOR UTILITY OR DESIGN** PATENT APPLICATION (37 CFR 1.63)

OR

□ Declaration Submitted with Initial Filing

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

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Attorney Docket Nur	umber SENSOR-PCT-1			
First Named Inventor		or LEGGETT, Nigel Derek		
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Application Number		10 / 009,866		
Filing Date	12/12/ 2001			
Group Art Unit	To be assigned			
Examiner Name	То	To be assigned		

As a below named i	As a below named inventor, I hereby declare that:					
My residence, mailing	g address, and citiz	enship are as sta	ated below next to my na	ime.		
I believe I am the orig names are listed belo	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:					
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the specification of v	which	(7	Title of the Invention)			
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OR  was filed on (MA	4/DDWW [15	2/12/ 2001	as United S	States Application I	Number or PCT in	nternational
	was filed on (MM/DD/YYYY) 12/12/2001  Application Number PCT/GB00/02265 and was amended on (MM/DD/YYYY) 12/12/2001 (If applicable).					
I hereby state that I h amended by any ame	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.					
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation- in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.						
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Prior Foreign Appl Number(s)		Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy	y Attached? NO
9913600.4		ED KINGDOM	06/12/1999		0000	0000
☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:						
	· · · · · · · · · · · · · · · · · · ·	3.C. 119(e) of an	y United States provision	nal application(s) l	listed below.	
Application N	umber(s)	Filling Date	e (MM/DD/YYYY)	- numbers	al provisional appl are listed on a ental priority data	

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NAME OF SOLE OR FIRST IN	/ENTOR:	□ A pe	lition has been fil	ed for this unsigned inventor
Given Name  (first and middle [if any])  Derek. Nigel  Family Name or Surname  LEGGETT				
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Additional inventors are being named	on the supplemen	ntal Additional Inve	ntor(s) shoot(s) DTC	VSR/02A etteched boroto

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